

RAY K. SHAHANI
ATTORNEY AT LAW



1/FLW

Twin Oaks Office Plaza
477 Ninth Avenue, Suite 112
San Mateo, California 94402-1854

Telephone: (650) 348-1444
Facsimile: (650) 348-8655
e-mail: rks@attycubed.com



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)	TRANSMITTAL
NEUMANN)	
)	
)	
Serial No.:	10/741,328)
)	
Filing Date:	December 19, 2003)
)	Date Mailed: May 20 2004
Attorney Docket No.:	NEU-108)
)	Examiner:
)	Group Art Unit: 3643
Title:	CAPSICUM BASED)
	SEED COATING AND)
	METHOD OF USE)
)	

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL

Dear Sir:

Enclosed please find the following documents related to the above-entitled patent application:

1. REQUEST FOR CORRECTED FILING REPORT: 2 page(s);
2. Copy of Original FILING RECEIPT: 2 page(s); and
3. Return Receipt Postcard.

///

TRANSMITTAL
Filing Date: December 19, 2003
Date of Mailing: May 20 2004

Page 1 of 2

Title: CAPSICUM BASED SEED COATING AND METHOD OF USE
Serial No.: 10/741,328
Attorney Docket No.: NEU-108

Respectfully submitted,

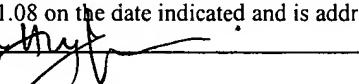
RAY K. SHAHANI
ATTORNEY AT LAW

Dated: May 20 2004

By: 
Ray K. Shahani, Esq.
Attorney for Applicant(s)

Ray K. Shahani, Esq. Registration No.: 37,554
Attorney at Law
Twin Oaks Office Plaza
477 Ninth Avenue, Suite 112
San Mateo, California 94402-1854
Telephone: (650) 348-1444 Facsimile: (650) 348-8655
E-mail: rks@attycubed.com

CERTIFICATE OF MAILING

I hereby certify that this paper and the documents attached hereto are being deposited in a postage prepaid, sealed envelope with the United States Postal Service using First Class Mail service under 37 CFR 1.08 on the date indicated and is addressed to "Commissioner for Patents, Virginia 22313-1450". Signed: 
Date Mailed: May 20 2004

TRANSMITTAL

Filing Date: December 19, 2003
Date of Mailing: May 20 2004

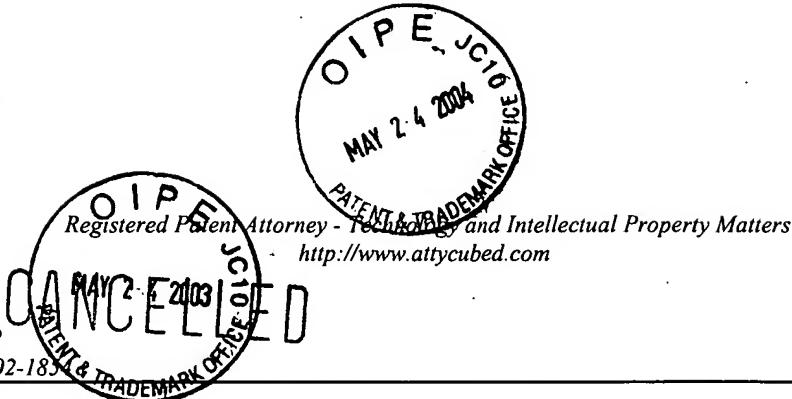
Title: CAPSICUM BASED SEED COATING AND METHOD OF USE

Serial No.: 10/741,328

Page 2 of 2

Attorney Docket No.: NEU-108

RAY K. SHAHANI
ATTORNEY AT LAW



Twin Oaks Office Plaza
477 Ninth Avenue, Suite 112
San Mateo, California 94402-1851

Telephone: (650) 348-1444
Facsimile: (650) 348-8655
e-mail: rks@attycubed.com

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of) REQUEST FOR CORRECTED
Neumann) FILING RECEIPT
Serial No.: 10/741,328)
Filing Date: December 19, 2003) Date of Mailing: May 20, 2004
Attorney Docket No.: NEU-108)
Title: CAPSICUM BASED)
SEED COATING AND)
METHOD OF USE)
Art Group: 3643

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Applicant is in receipt of the Filing Receipt in this matter dated March 31, 2004. Thank you for your expedient attention to these matters.

Attached hereto please find a copy of the Filing Receipt as received, with the requested

corrections indicated directly thereon. These changes include:

1. Please CORRECT the Domestic Priority data as claimed by applicant, as follows:

"This application claims benefit of priority of the following related applications:

<u>Serial No.</u>	<u>Filing Date</u>	<u>Patent No.</u>	<u>Issue Date</u>	<u>Relationship</u>
10/158,593	May 30, 2002	-	-	CIP
60/294,374	May 30, 2001	-	-	-

REQUEST FOR CORRECTED FILING RECEIPT
Filing Date : December 19, 2003
Date Mailed: May 20, 2004

Page 1 of 2

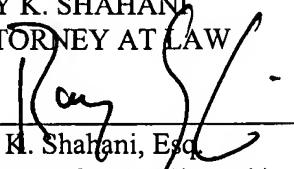
TITLE: CAPSICUM BASED SEED COATING AND METHOD OF USE
Serial No: 10/741,328
Attorney Docket No: NEU-108

09/747,225	December 22, 2000	6,523,298	February 25, 2003	-
09/374,548	August 12, 1999	-	-	-
08/871,004	June 6, 1997	5,937,572	August 17, 1999	"

Respectfully submitted,

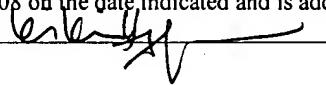
RAY K. SHAHANI
ATTORNEY AT LAW

Dated: May 20, 2004

By: 
Ray K. Shahani, Esq.
Attorney for Applicant(s)

Ray K. Shahani, Esq. Registration No.: 37,554
Attorney at Law
Twin Oaks Office Plaza
477 Ninth Avenue, Suite 112
San Mateo, California 94402-1854
Telephone: (650) 348-1444 Facsimile: (650) 348-8655
E-mail: rks@attycubed.com

CERTIFICATE OF MAILING

I hereby certify that this paper and the documents attached hereto are being deposited in a postage prepaid, sealed envelope with the United States Postal Service using First Class Mail service under 37 CFR 1.08 on the date indicated and is addressed to "Commissioner For Patents And Trademarks, Virginia 22313-1450". Signed: 

Date Mailed: May 20, 2004

REQUEST FOR CORRECTED FILING RECEIPT
Filing Date : December 19, 2003
Date Mailed: May 20, 2004

TITLE: CAPSICUM BASED SEED COATING AND METHOD OF USE
Serial No: 10/741,328
Page 2 of 2 Attorney Docket No: NEU-108



UNITED STATES PATENT AND TRADEMARK OFFICE



COPY

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/741,328	12/19/2003	3643	385	NEU-108	2	17	3

*Ray K. Shahani
Attorney at Law*

Ray K. Shahani, Esq.
ATTORNEY AT LAW
Twin Oaks Office Plaza
477 Ninth Avenue, Suite 112
San Mateo, CA 94402-1854

*Received
Date 4-8-2004
Copy to Client: 4-8-2004
Due Date: 6-8-2004*

CONFIRMATION NO. 4494

FILING RECEIPT



OC000000012230630*

Docket TTL 9/12/04

Date Mailed: 03/31/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Robert Neumann, San Carlos, CA;

"This application claims benefit of priority of the following related applications:

Serial No.	Filing Date	Patent No.	Issue Date	Relationship
10/158,593	May 30, 2002	-	-	CIP
60/294,374	May 30, 2001	-	-	-
09/747,225	December 22, 2000	6,523,298	February 25, 2003	-
09/374,548	August 12, 1999	-	-	-
08/871,004	June 6, 1997	5,937,572	August 17, 1999	-

Domestic Priority data as claimed by applicant

This application is a CIP of 10/158,593 05/30/2002 ABN
 which claims benefit of 60/294,374 05/30/2001

Foreign Applications

If Required, Foreign Filing License Granted: 03/30/2004

Projected Publication Date: 07/08/2004

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

Capsicum-based seed coating and method of use

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).